



*Name of Fund: (Legal name as stated in the offering document)							
Name of Sub-Fund/Segregated Portfolio: (if applicable)							
*Country of Incorporation		<input type="checkbox"/> Cayman Islands	<input type="checkbox"/> British Virgin Islands				
*Billing Address (party responsible for paying our invoice):							
Issue Description: (Please specify below only the share class/es and currency for each security for which a CUSIP/ISIN identifier is required)							
*Currency	*Share Class						
Terms of the offering: (Please select one only from each of the below)							
*Fund Type:	<input type="checkbox"/> Open-end	<input type="checkbox"/> Closed-end	<input type="checkbox"/> Others (Miscellaneous)				
*Fund Distribution Policy:	<input type="checkbox"/> Growth Funds	<input type="checkbox"/> Income Funds	<input type="checkbox"/> Mixed Funds				
*Fund Investment Policy/Assets: (May select more than one if applicable)							
<input type="checkbox"/>	Capital Appreciation	<input type="checkbox"/>	High Yield Tax Bonds	<input type="checkbox"/>	Science & Technology		
<input type="checkbox"/>	Global	<input type="checkbox"/>	Mortgage-Backed Bonds	<input type="checkbox"/>	Utility		
<input type="checkbox"/>	Commodities	<input type="checkbox"/>	Small Co. Growth	<input type="checkbox"/>	Intermediate Tax Bonds		
<input type="checkbox"/>	Derivatives	<input type="checkbox"/>	Mixed-General	<input type="checkbox"/>	Growth & Income		
<input type="checkbox"/>	Environment	<input type="checkbox"/>	Natural Resources	<input type="checkbox"/>	State Taxable Bonds		
<input type="checkbox"/>	Financial Services	<input type="checkbox"/>	Municipal Bonds	<input type="checkbox"/>	Growth		
<input type="checkbox"/>	Precious Metals	<input type="checkbox"/>	Mid-Cap	<input type="checkbox"/>	Long Term Taxable Bonds		
<input type="checkbox"/>	Health/Biotech	<input type="checkbox"/>	Equity & Income	<input type="checkbox"/>	Other		
<input type="checkbox"/>	International	<input type="checkbox"/>	Real Estate	<input type="checkbox"/>			
Fund Security Type:	<input type="checkbox"/> Shares	<input type="checkbox"/> Shares of QI	<input type="checkbox"/> Units	<input type="checkbox"/> Units for QI			
DTC Eligible	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not Available	<input type="checkbox"/> Not Applicable			
Payment Status:	<input type="checkbox"/> Fully Paid No Par	<input type="checkbox"/> Fully Paid Par Value	<input type="checkbox"/> Nil Paid	<input type="checkbox"/> Partly Paid			
Ownership Restrictions:	<input type="checkbox"/> Unrestricted (Free)	<input type="checkbox"/> Restricted					
Stock Exchange where traded: (if applicable)							
Voting Rights:	<input type="checkbox"/> Enhanced	<input type="checkbox"/> Non-Voting	<input type="checkbox"/> Restricted	<input type="checkbox"/> Subordinated	<input type="checkbox"/> Voting		

***: Required Fields – application will not be accepted unless these fields are completed**

Checklist Field Assistance

If possible, please complete all fields stated on the checklist for processing of the ISIN request. The more information stated, the more detail can be stated when creating the ISIN. If you are unable to complete all fields, kindly note that there are required fields indicated that must be completed to proceed with each request.

- **Fund Type:**
 - An **open-end** fund is a diversified portfolio of pooled investor money that can issue an unlimited number of shares
 - A **closed-end** fund is a portfolio of pooled assets that raises a fixed amount of capital through an initial public offering (IPO) and then lists shares for trade on a stock exchange
- **Fund Distribution Policy:**
 - A **Growth Fund** is a diversified portfolio of stocks that has capital appreciation as its primary goal, with little or no dividend payouts.
 - **Mixed Funds** diversify an investment in both debt instruments and equity instruments to lower the risk factor. Mixed funds can also have multiple Investment policies.
 - An **Income Fund** is a mutual fund whose goal is to provide an income from investments.
- **Fund Security Type:**
 - **Shares** – A share represents a denomination of an entity's stock
 - **Shares of QI** – Shares being offered to qualified or accredited investors which are legally permitted by the Securities and Exchange Commission to invest in hedge funds, venture capital funds, private equity offerings, and other private placements
 - **Units** - Units represent your holding in a fund scheme and are the smallest portion of its ownership
 - **Units of QI** - Units being offered to qualified or accredited investors which are legally permitted by the Securities and Exchange Commission to invest in hedge funds, venture capital funds, private equity offerings, and other private placements
- **DTC Eligible** - a public company's securities are able to be deposited through the Depository Trust Company
- **Payment Status:**
 - **Fully Paid No Par** - The shareholder has fully paid for the shares or units purchased and the stock has No Par Value set by the corporation.
 - **Fully Paid Par Value** - The shareholder has fully paid for the shares or units purchased and the stock has a Par Value (e.g. \$0.01)
 - **Nil Paid** - The shareholder has not made payment yet for the shares purchased.
 - **Partly Paid** - The shareholder has only partly paid for the shares purchased.
- **Ownership Restrictions:**
 - **Unrestricted (Free)** - Publicly traded on a stock exchange with no restrictions on trading or transfers
 - **Restricted** - There are restrictions on trading or transfers, for example Regulation D Rules 505 and 506, Rule 144A Qualified Institutional Buyers.
- **Stock Exchange Where Traded** – Any relevant stock exchange the ISIN will be used for trading on
- **Voting Rights**
 - **Voting** - Voting shares are those shares that give the stockholder the right to vote on matters of corporate policymaking
 - **Non-Voting** - Non-voting shares do not give the holder any voting rights with the entity